COS 226
Concurrency
Chapter 1

Acknowledgement

Some of the slides are taken from the companion slides for "The Art of Multiprocessor Programming" by Maurice Herlihy & Nir Shavit

The Shared Memory Multiprocessor (SMP)

Traditional Scaling Process

Multicore Scaling Process

Real-World Scaling Process

User code

Multicore

Unfortunately, not so simple...

Parallelization and Synchronization require great care...
Multiprocessor programming

- We look at concurrency from two directions:
  - Principles
    - Computability
  - Practice
    - Performance

Model Summary

- Multiple threads
  - Sometimes called processes
- Single shared memory
- Objects live in memory
- Unpredictable asynchronous delays

Mutual Exclusion or “Alice & Bob share a pond”

Alice has a pet

Bob has a pet

The Problem

The pets don’t get along
Formalizing the problem

First:

Both pets should never be in pond at the same time
- Mutual exclusion
- This is a safety property – makes sure that nothing bad happens

And...

If only one wants in, it gets in, but if both want in, only one gets in.
- No deadlock
- This is a liveness property – makes sure that something good happens eventually

Simple Protocol

A possible solution
- Just look at the pond and see if the coast is clear

Problem
- Trees obscure the view

Interpretation

Threads can’t “see” what other threads are doing
Explicit communication required for coordination

Cell Phone Protocol

Another possible solution
- Bob calls Alice (or vice-versa)

Problem
- Bob takes shower
- Alice recharges battery
- Bob out shopping for pet food …

Interpretation

Message-passing doesn’t work
Recipient might not be
- Listening
- There at all
Communication must be
- Persistent (like writing)
- Not transient (like speaking)
Can Protocol

- A possible solution:
  - Bob puts one or more cans on Alice’s windowsill attached to strings that lead to Bob’s house
  - When he wants to send a message he knocks over one of the cans
  - When Alice sees the knocked over can, she resets them

Bob conveys a bit

- Bob relies on Alice resetting the cans
- What if Alice goes away on holiday?
- Cans cannot be reused
- Bob runs out of cans

Interpretation

- Cannot solve mutual exclusion with interrupts
  - Sender sets fixed bit in receiver’s space
  - Receiver resets bit when ready
  - Requires infinite number of available bits
Possible solution: Flag Protocol

Alice’s Protocol
- If Alice wants to release her pet she raises her flag
- If Bob’s flag is down, she can release her pet
- When her pet returns, she lowers her flag again

Alice’s Protocol (sort of)

Bob’s Protocol (sort of)

Bob’s Protocol
- Raise flag
- When Alice’s flag is down unleash pet
- Lower flag when pet returns

Bob’s Protocol (2nd try)
- Raise flag
- While Alice’s flag is up
  - Lower flag
  - Wait for Alice’s flag to go down
  - Raise flag
- Unleash pet
- Lower flag when pet returns
Bob’s Protocol

- Raise flag
- While Alice’s flag is up
  - Lower flag
  - Wait for Alice’s flag to go down
  - Raise flag
- Unleash pet
- Lower flag when pet returns

The Flag Principle

- Raise the flag
- Look at other’s flag
- Flag Principle:
  - If each raises and looks, then
  - Last to look must see both flags up

Does it work?

- Mutual exclusion?
  - YES
  - Pets are not in the yard at the same time
- Deadlock-freedom?
  - YES
  - If both pets want to use the yard, Bob defers to Alice

Starvation-freedom

- If a pet wants to enter the yard, will it eventually succeed?
  - NO.
  - Whenever Alice and Bob are in conflict, Bob defers to Alice, thus it is possible that Alice’s pet uses the pond over and over and Bob’s pet doesn’t get a turn

Waiting

- If Alice raises her flag and suddenly becomes ill, Bob’s pet cannot use the pond until Alice returns
- Bob must wait for Alice to lower her flag

Remarks

- Protocol is unfair
  - Bob’s pet might never get in
- Protocol uses waiting
  - If Bob is eaten by his pet, Alice’s pet might never get in
The Fable Continues

- Alice and Bob fall in love & marry
- Then they fall out of love & divorce
  - She gets the pets – they now get along
  - He has to feed them – the pets however side with Alice and attacks Bob

Producer-Consumer Problem

- A new coordination problem

Bob Puts Food in the Pond

Alice releases her pets to Feed

Producer/Consumer

- Alice and Bob can’t meet
  - Each has restraining order on other
  - So he puts food in the pond
  - And later, she releases the pets
- Avoid
  - Releasing pets when there’s no food
  - Putting out food if uneaten food remains

Producer/Consumer

- Need a mechanism so that
  - Bob lets Alice know when food has been put out
  - Alice lets Bob know when to put out more food
Also known as bounded buffer problem

- Two processes — producer and consumer — share a common fixed-size buffer
- The producer generates data, puts it into the buffer and start again
- At the same time the consumer, consumes the data one piece at a time
- Problem:
  - Producer should not try to add data if the buffer is full
  - Consumer should not try to remove data from an empty buffer

Surprise Solution

Bob puts food in Pond

Bob knocks over Can

Alice Releases Pets

Alice Resets Can when Pets are Fed
Correctness

- Mutual Exclusion
  - Pets and Bob never together in pond
- No Starvation
  - If Bob always willing to feed, and pets always famished, then pets eat infinitely often.
- Producer/Consumer
  - The pets never enter pond unless there is food, and Bob never provides food if there is unconsumed food.

Could Also Solve Using Flags

Waiting

- Both solutions use waiting
- Waiting is *problematic*
  - If one participant is delayed
  - So is everyone else
  - But delays are common & unpredictable

The Fable drags on …

- Bob and Alice still have issues
- So they need to communicate
- So they agree to use billboards …

Billboards are Large

Write One Letter at a Time …

Letter Tiles
From Scrabble™ box
To post a message

WASH THE CAR

Let's send another message

SELL LAVA LAMPS

Uh-Oh

SELL THE CAR

Readers/ Writers

- Devise a protocol so that
  - Writer writes one letter at a time
  - Reader reads one letter at a time
  - Reader sees
    - Old message or new message
    - No mixed messages

Why do we care?

- Upgrading from a uniprocessor to a n-way multiprocessor does not mean in n-fold increase in performance
- We want as much of the code as possible to execute concurrently (in parallel)
- A larger sequential part implies reduced performance

Amdahl’s law

- The extent to which we can speed up a complex job is limited by how much of the job must be executed sequentially.
Amdahl’s law

Speedup = ratio between:
- time it takes one processor to complete the task
- Vs
- time if takes n concurrent processors to complete the same task

Then:
- The parallel part of the task will take \( \frac{p}{n} \) time
- The sequential part of the task will take \( 1 - p \) time
- Parallelization is thus: \( 1 - p + \frac{p}{n} \)

Example

- Ten processors
- 60% concurrent, 40% sequential
- How close to 10-fold speedup?

\[
\text{Speedup} = \frac{1}{1 - 0.6 + \frac{0.6}{10}} = 2.17
\]

Example

- Ten processors
- 80% concurrent, 20% sequential
- How close to 10-fold speedup?

\[
\text{Speedup} = \frac{1}{1 - 0.8 + \frac{0.8}{10}} = 3.57
\]

Example

- Ten processors
- 90% concurrent, 10% sequential
- How close to 10-fold speedup?

\[
\text{Speedup} = \frac{1}{1 - 0.9 + \frac{0.9}{10}} = 5.26
\]
The Moral

- Making good use of our multiple processors (cores) means
- Finding ways to effectively parallelize our code
  - Minimize sequential parts
  - Reduce idle time in which threads wait without

Multicore Programming

- This is what this course is about…
  - The % that is not easy to make concurrent yet may have a large impact on overall speedup
- Next Week:
  - A more serious look at mutual exclusion